

RAMSEY EQUIVALENCE OF K_n AND $K_n + K_{n-1}$

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ABSTRACT. We prove that, for $n \geq 4$, the graphs K_n and $K_n + K_{n-1}$ are Ramsey equivalent. That is, if G is such that any red-blue colouring of its edges creates a monochromatic K_n then it must also possess a monochromatic $K_n + K_{n-1}$. This resolves a conjecture of Szabó, Zumstein, and Zürcher [10].

A finite graph G is Ramsey for another finite graph H , written $G \rightarrow H$, if there is a monochromatic copy of H in every two-colouring of the edges of G . We say that H_1 and H_2 are Ramsey equivalent, written $H_1 \sim_R H_2$, if, for any graph G , we have $G \rightarrow H_1$ if and only if $G \rightarrow H_2$.

The concept of Ramsey equivalence was first introduced by Szabó, Zumstein, and Zürcher [10]. A fundamental question to ask is which graphs are Ramsey equivalent to the complete graph K_n . It follows from a theorem of Folkman [5] that if a graph H is Ramsey equivalent to K_n , then $\omega(H) = n$, where $\omega(H)$ denotes the size of the largest complete subgraph of H .

In a recent paper, Fox, Grinshpun, Person, Szabó, and the second author [6] showed that K_n is *not* Ramsey equivalent to any connected graph containing K_n . Furthermore, it is easily seen that K_n is not Ramsey equivalent to $K_n + K_{n-1}$, see e.g. [10]. It follows that if $K_n \sim_R H$ then H is of the form $K_n + H'$ where $\omega(H') < n$.

It was shown in [10] that $K_n \sim_R K_n + K_{n-2}$. However, the question of whether K_n is Ramsey equivalent to $K_n + K_{n-1}$ was left open. It is easily checked that K_3 is not Ramsey equivalent to $K_3 + K_2$, since $K_6 \rightarrow K_3$ but $K_6 \not\rightarrow K_3 + K_2$. In [10] it is conjectured that this is an aberration, and that $K_n \sim_R K_n + K_{n-1}$ for large enough n , a conjecture repeated in [6]. In this paper, we prove this conjecture.

Theorem 1. *For any $n \geq 4$*

$$K_n \sim_R K_n + K_{n-1}.$$

It is shown in [6] that this is best possible, in the sense that K_n is not Ramsey equivalent to $K_n + 2K_{n-1}$.

Our methods are combinatorial and explicit, and the idea is the following: suppose we have a graph G which is Ramsey for K_n , and yet has been coloured so as to avoid a monochromatic $K_n + K_{n-1}$. We will then attempt, by giving an explicit recolouring of some edges, to give a colouring which no longer possesses a monochromatic K_n , which contradicts the Ramsey property of G .

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¹Throughout the paper, we denote by $H_1 + H_2$ the graph composed of two vertex-disjoint copies of H_1 and H_2 . More generally, we denote by $H_1 + tH_2$ the graph that consists of a copy of H_1 and t pairwise vertex-disjoint copies of H_2 .

Sadly, this is not quite possible directly, and instead we will build up our proof in stages: in each lemma, we will show that either a colouring of G must have a monochromatic $K_n + K_{n-1}$, or if not we can deduce some further structural information about the colouring of G , which will help us in the following lemmas. Eventually, we will have accumulated enough information about our supposed counterexample so that it collapses under the weight of contradiction into non-existence, which proves Theorem 1.

As mentioned above, the clique on six vertices is an unfortunate obstruction which prevents the Ramsey equivalence of K_3 and $K_3 + K_2$. Interestingly, Bodkin and Szabó [2] have shown that, essentially, this is the *only* such obstruction.

Theorem 2 ([2]). *If $G \rightarrow K_3$ and $G \not\rightarrow K_3 + K_2$ then $K_6 \subseteq G$.*

In Section 3, we give an alternative proof of this theorem, using similar techniques to those developed for the proof of Theorem 1.

Notation. All graphs are simple and finite. As a convenient abuse of notation, we write G both for a graph and for its set of vertices. We write $E(G)$ for the set of edges of G .

Structure of the paper In Section 1 we prove a Ramsey stability lemma, crucial for the proof of Theorem 1, but which is also of independent interest. In Section 2 we give the proof of Theorem 1. In Section 3 we give the proof of Theorem 2. Finally, we conclude by giving further discussion of Ramsey equivalence, including a discussion of some still-open conjectures in this field, and adding some more.

1. RAMSEY STABILITY

We first prove a lemma which may be of independent interest; we refer to it as a Ramsey stability result, since it states that if a graph G is Ramsey for the clique K_n then we can remove any small number of vertices and the remaining graph will still possess a Ramsey property almost as strong as the original.

Lemma 1. *Let $n \geq 4$ and $G \rightarrow K_n$. Let $V \subset G$ with $2n \leq |V| \leq 3n - 3$ and $V_0 \subset V$ be any set with $|V_0| \leq 2n - 2$. Finally, let x and y be any vertices from $V \setminus V_0$.*

Then, in any colouring of the edges of G , there exists a monochromatic copy of K_{n-1} in $G \setminus V_0$, say with vertex set W , such that either $W \cap V = \{x\}$, or $W \cap V = \{y\}$, or $x, y \notin W \cap V$.

Proof. Without loss of generality, we may suppose that $|V| = 3n - 3$ and $|V_0| = 2n - 2$. We arbitrarily divide V_0 into two sets of $n - 3$ vertices each, say V_R and V_B , and four single vertices, x_R, y_R, x_B, y_B . For brevity, we let $V' = V \setminus (V_0 \cup \{x, y\})$. To define a recolouring of the edges incident to V , let us define an auxiliary graph G_R with vertex set

$$V(G_R) = \{V_R, V_B, V', \{x\}, \{y\}, \{x_R\}, \{y_R\}, \{x_B\}, \{y_B\}\}.$$

Instead of giving an incomprehensible list of edges, we refer the reader to Figure 1 (A) for the definition of G_R . Let G_B be the complement of G_R , depicted in Figure 1 (B). We now recolour the edges incident to V as follows. If $u_1 \in U_1 \in G_R$ and $u_2 \in U_2 \in G_R$ such that $U_1 \neq U_2$, then colour the edge $u_1 u_2$ red if $U_1 U_2 \in E(G_R)$, and colour the edge $u_1 u_2$ blue otherwise. Furthermore, colour all edges in $E(V_B)$ red, and all edges in $E(V_R)$ blue. The edges in $E(V')$ retain their original colouring.

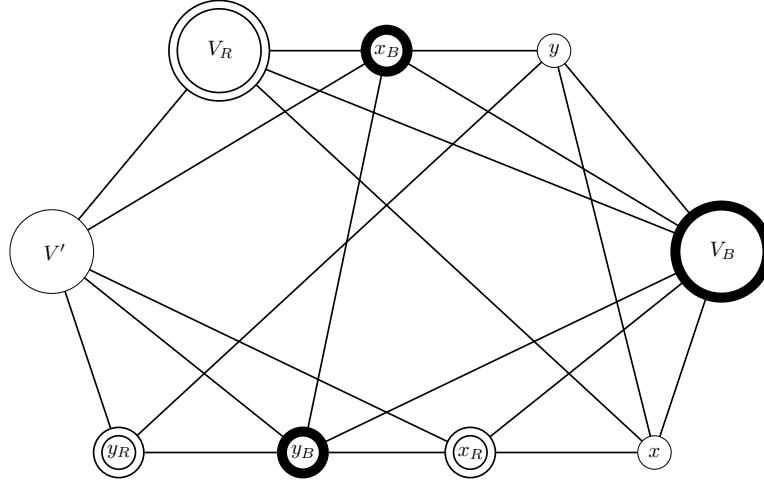
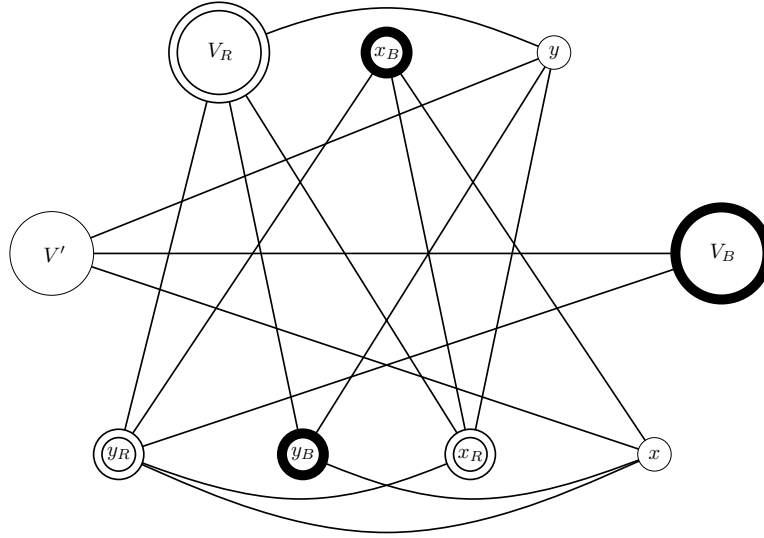

 (A) The (red) edges of G_R

 (B) The (blue) edges of G_B

FIGURE 1. The recolouring of V for Lemma 1. A black ring around a vertex class indicates that we colour edges between this class and $G \setminus V$ blue. A white ring around a vertex class indicates that we colour edges between this class and $G \setminus V$ red. No ring indicates that such edges retain their original colour. Edges inside V_B are red, edges inside V_R are blue, and edges inside V' retain their original colour.

For all $u \in \{x_B, y_B\} \cup V_B$ and all $v \in G \setminus V$, colour the edge uv blue. For all $u \in \{x_R, y_R\} \cup V_R$ and all $v \in G \setminus V$, colour the edge uv red. It will be

convenient to call the vertices in $\{x_B, y_B\} \cup V_B$ *blue vertices*, and to call the vertices in $\{x_R, y_R\} \cup V_R$ *red vertices*. The recolouring is indicated in Figure 1.

The crucial properties of this recolouring are the following, which are easy to verify from examining Figure 1:

- (1) Both G_R and G_B are K_4 -free.
- (2) Every triangle in G_R contains at least one of $\{x_B\}, \{y_B\}, V_B$, and every triangle in G_B contains at least one of $\{x_R\}, \{y_R\}, V_R$.
- (3) The blue vertices $V_B \cup \{x_B, y_B\}$ are connected by only red edges in G , and the red vertices $V_R \cup \{x_R, y_R\}$ are connected by only blue edges in G .

Since G is Ramsey for K_n there must be a monochromatic copy of K_n present in G after this recolouring. We claim that, thanks to the fortuitous properties of our recolouring, this forces a monochromatic K_{n-1} in the original colouring with the required properties.

Let U be the vertex set of the monochromatic K_n present in G after this recolouring. If $|U \cap (V_0 \cup \{x, y\})| \leq 1$ then the lemma follows immediately, since discarding at most one vertex would leave a monochromatic K_{n-1} in the original colouring (as the only edges which are recoloured are incident with $V_0 \cup \{x, y\}$), completely disjoint from $V_0 \cup \{x, y\}$ as required.

We may suppose, therefore, that $|U \cap (V_0 \cup \{x, y\})| \geq 2$. The first case to consider is when $U \subset V$. By Property (1) and since $n \geq 4$, U must contain at least two vertices from one of the classes V_R, V_B , or V' .

Suppose first that $|U \cap V_B| \geq 2$. Then U must form a red K_n , and hence can contain at most one vertex from V_R , and no vertex from V' , since all edges between V_B and V' are blue. By similar reasoning, if $|U \cap V_R| \geq 2$, then U must form a blue K_n , and hence cannot use any vertex from $V' \cup V_B$. Therefore, there exists at most one class $V'' \in \{V_R, V_B, V'\}$ such that $|U \cap V''| \geq 2$. Since each such class contains at most $n - 3$ vertices, discarding all but one vertex of V'' would force a monochromatic copy of K_4 within V , using at most one vertex from each of V_R, V_B , and V' . This would force a copy of K_4 in either G_R or G_B , which contradicts Property (1).

Assume now that $U \not\subset V$, and suppose that U hosts a red copy of K_n . Since all blue vertices are connected to $G \setminus V$ by blue edges, U cannot contain any blue vertices. Therefore, by Property (2), U uses vertices of at most two nodes in G_R . Furthermore, since the copy is red, $|U \cap V_R| \leq 1$.

If $V' \cap U \neq \emptyset$ then U can use at most one vertex from $V \setminus V'$, and discarding this vertex leaves a monochromatic K_{n-1} in the original colouring, completely disjoint from $V_0 \cup \{x, y\}$, as required.

If $V' \cap U = \emptyset$ then, by Property (2) again, it must use exactly two vertices from $V_R \cup \{x_R, y_R, x, y\}$. Since there are only blue edges between vertices in $V_R \cup \{x_R, y_R\}$, by Property (3), at least one of these two vertices in $U \cap V$ must be x or y . Discarding the other vertex in $U \cap V$ leaves a monochromatic copy of K_{n-1} in the original colouring which intersects V in either x or y , but no other vertices, as required.

The case when U hosts a blue copy of K_n is handled similarly, and the proof is complete. \square

The following corollary is immediate when $n \geq 4$; in this weakened form it also holds for $n = 3$. We will not need Corollary 1 in the rest of this paper, but it is a pleasingly simple way to demonstrate the Ramsey stability ethos of Lemma 1.

Corollary 1. *Let $n \geq 3$ and $G \rightarrow K_n$. If $V \subset G$ has $|V| \leq 2n - 2$ then $G \setminus V \rightarrow K_{n-1}$.*

Proof. For $n \geq 4$ this follows immediately from Lemma 1, after expanding V by two arbitrary vertices from $G \setminus V$. For $n = 3$, it suffices to give an explicit colouring of K_4 in a similar fashion, as we do in Figure 2.

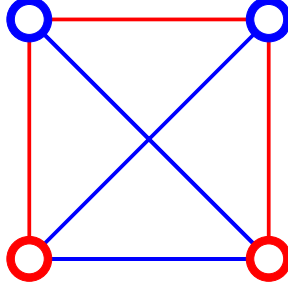


FIGURE 2

Thus, if we recolour the edges adjacent to V as indicated in Figure 2, then any monochromatic K_3 in G must have at least two vertices from $G \setminus V$, and hence $G \setminus V \rightarrow K_2$ as required. \square

2. PROOF OF THE MAIN RESULT

We recall our goal: to show that K_n is Ramsey equivalent to $K_n + K_{n-1}$ for $n \geq 4$. It is, of course, trivial that if $G \rightarrow K_n + K_{n-1}$ then $G \rightarrow K_n$. It remains to show that if $G \rightarrow K_n$ then $G \rightarrow K_n + K_{n-1}$. Our strategy will be to accumulate more and more information about the monochromatic structures present in a colouring of a graph, Ramsey for K_n , without a monochromatic $K_n + K_{n-1}$, until we are eventually able to obtain a contradiction.

Lemma 2. *Let $n \geq 4$. If $G \rightarrow K_n$ then, in every colouring of G , there is either a monochromatic $K_n + K_{n-1}$, or a red K_n and a blue K_n .*

Proof. Suppose, without loss of generality, that the edges of G are coloured so that there is a red copy of K_n . Let V_R be the vertex set of this red K_n . As in the proof of Lemma 1, we will recolour some edges of G and use the assumption that $G \rightarrow K_n$ to prove the claim.

Suppose first that there is an edge ab of V_R which has the property that every red K_n intersects V_R in at least one vertex besides a and b . In this case, we recolour every other edge of V_R blue, and colour the edges between $V_R \setminus \{a, b\}$ and $G \setminus V_R$ red.

Since $G \rightarrow K_n$ there must be a monochromatic K_n in this recoloured G . Suppose first that there is a red K_n . If it uses at least $n - 1$ vertices from $G \setminus V_R$ then there is a red K_{n-1} present in $G \setminus V_R$ in the original colouring, and hence a red $K_n + K_{n-1}$. Otherwise, it must use a red edge from V_R . But the only red edge remaining in V_R is ab , and the edges from $\{a, b\}$ to $G \setminus V_R$ retained their original colouring. Therefore, we must have a red K_n in the original colouring that intersects V_R in exactly $\{a, b\}$, which contradicts our choice of ab . Secondly, suppose that there is a

blue K_n in the recoloured G . If it uses any of the new blue edges inside V_R , then it must be contained entirely inside V_R , since the edges from $V_R \setminus \{a, b\}$ to $G \setminus V_R$ are all red. However, this is impossible, since V_R has ab still coloured red. Therefore we must have a blue K_n that uses only edges which were originally blue, and so we have a red K_n and a blue K_n , as required.

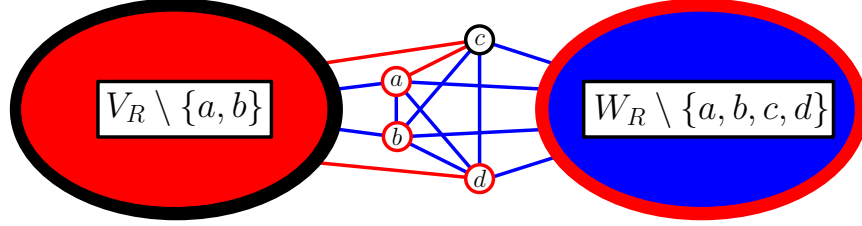


FIGURE 3. The colouring for Lemma 2.

We may now assume that, for every pair $\{a, b\} \subseteq V_R$, there is another red K_n intersecting V_R in only the edge ab . Let W_R be the vertex set of another red K_n such that $|V_R \cap W_R| = 2$, say $V_R \cap W_R = \{a, b\}$, and let c, d be any two vertices in $W_R \setminus V_R$. We recolour (some of) the edges incident to W_R in the following way. An illustration of this colouring can be found in Figure 3.

- For all $w \in W_R \setminus \{a, b, c, d\}$, all $w' \in W_R$ ($w' \neq w$), and all $v \in G \setminus W_R$, we colour the edge ww' blue and the edge wv red (if present in G).
- For all $v \in V_R \setminus \{a, b\}$, we recolour the edges av and bv blue, and the edges cv and dv red (if present in G).
- For all $x \in G \setminus (V_R \cup W_R)$, we colour the edges ax , bx and dx in red (the edge cx retains its original colour).
- Every edge in $\{a, b, c, d\}$ is recoloured blue, except for ac which remains red.

Again, since $G \rightarrow K_n$ there must be a monochromatic K_n in this recoloured G .

Suppose first that there is a red K_n , say on vertex set W . If it uses at least $n-1$ vertices from $G \setminus W_R$ then there is a red K_{n-1} present in $G \setminus W_R$ in the original colouring, and hence a red $K_n + K_{n-1}$. Otherwise, it must use a red edge from W_R . But the only red edge remaining in W_R is ac . Then W must be disjoint from $V_R \setminus \{a\}$, since each such ax is blue. Hence, $W \cap (V_R \cup W_R) = \{a, c\}$. But none of the edges inside $W \setminus \{a\}$ were recoloured, and hence $W \setminus \{a\}$ hosts a red K_{n-1} in the original colouring that is vertex disjoint from V_R .

Secondly, suppose that there is a blue K_n in the recoloured G , say on vertex set W . If it uses any of the new blue edges inside $V_R \cup W_R$, then it must be contained entirely inside $V_R \cup W_R$, since the edges from $W_R \setminus \{c\}$ to $G \setminus (V_R \cup W_R)$ are all red. However, $V_R \cup W_R$ does not host a blue K_n in this recolouring. Therefore we must have a blue K_n that uses only edges which were originally blue, and so we have a red K_n and a blue K_n , as required. \square

Lemma 3. *Let $n \geq 4$. If $G \rightarrow K_n$ then, in any colouring of G , if there is a monochromatic K_{n+1} then there is a monochromatic $K_n + K_{n-1}$.*

Proof. Suppose that G has, say, a red K_{n+1} , on vertex set V_R . By Lemma 2, we may assume that there exists a blue K_n , say on vertex set V_B . Let $V = V_R \cup V_B$,

so that $|V| \leq 2n + 1$. We now apply Lemma 1, with $V_0 \subset V$ being any set of $2n - 2$ vertices containing V_B . This yields a monochromatic K_{n-1} which intersects the red K_{n+1} in at most one vertex, and the blue K_n not at all, and hence we must have a monochromatic $K_n + K_{n-1}$. \square

Lemma 4. *Let $n \geq 4$, and let G be a graph such that $G \rightarrow K_n$. Assume that there is a colouring of the edges of G with no monochromatic copy of $K_n + K_{n-1}$. Then, in this colouring, no two monochromatic copies of K_n intersect in exactly two vertices.*

Proof. Suppose otherwise; without loss of generality, we have two red copies of K_n , say on vertex sets V_R and V'_R , such that $|V_R \cap V'_R| = 2$. By Lemma 2 we may further assume that there is a blue K_n , say on vertex set V_B .

Assume first that $V_B \cap V_R \neq \emptyset$. Let $x \in V_R \setminus (V_B \cup V'_R)$ and $y \in V'_R \setminus (V_B \cup V_R)$ (which exist since $n \geq 4$ and since V_B intersects with V_R and V'_R with at most one vertex each). Further, set $V := V_R \cup V'_R \cup V_B$ and $V_0 := (V_R \cup V_B) \setminus \{x\} \subseteq V$. By assumption, $|V| \leq 3n - 3$ and $|V_0| \leq 2n - 2$. Therefore, by Lemma 1, there is a monochromatic copy of K_{n-1} , say on set W , such that either $W \cap V = \{x\}$, or $W \cap V \subseteq V \setminus (V_0 \cup \{x\})$. In the first case, when $W \cap V = \{x\}$, then W is disjoint from both V_B and V'_R , and hence there is a monochromatic copy of $K_n + K_{n-1}$, a contradiction. Otherwise, W is disjoint from both V_B and V_R , and again, we find a monochromatic copy of $K_n + K_{n-1}$, a contradiction.

We argue similarly if $V_B \cap V'_R \neq \emptyset$, and therefore assume from now on that $V_B \cap (V_R \cup V'_R) = \emptyset$. Let $x, y \in V_R \setminus V'_R$ and $z \in V'_R \setminus V_R$ be some arbitrarily chosen vertices. We again apply Lemma 1, with $V := V_B \cup V_R \cup W$, where $W = V'_R \setminus (V_R \cup \{z\})$, and $V_0 := (V_R \cup V_B) \setminus \{x, y\}$. It is clear that $|V| \leq 3n - 3$ and $|V_0| = 2n - 2$, as required.

Suppose that there is a monochromatic copy of K_{n-1} which intersects V in only vertices of W . In particular, it is vertex-disjoint from $V_B \cup V_R$, and hence it creates a monochromatic $K_n + K_{n-1}$, which is a contradiction.

It follows that there exists a monochromatic copy of K_{n-1} which intersects V in either x or y , but no other vertices. Since it is disjoint from V_B , we may assume that it is red. If this red K_{n-1} does not use z , however, then together with V'_R we have a red $K_n + K_{n-1}$, which is a contradiction. Therefore, either xz or yz is red. Since x and y were an arbitrary choice of two vertices from $V_R \setminus V'_R$, it follows that all but at most one vertex of V_R is connected to z by a red edge.

That is, $V_R \cup \{z\}$ hosts two red copies of K_n that intersect in $n - 1$ vertices. Note that if $V_R \cup \{z\}$ forms in fact a red copy of K_{n+1} , then we are done by Lemma 3. Therefore, to finish the argument, let $x \in V_R \setminus V'_R$ such that the edge xz is blue or not present in G . As noted, there is at most one such x . We apply Lemma 1 yet again to reach a contradiction. Let $y \in V_R \setminus (V'_R \cup \{x\})$, set $V_0 := (V_R \cup V_B) \setminus \{x, y\}$ and $V := (V_R \cup V'_R \cup V_B) \setminus \{x\}$. Then $|V| = 3n - 3$ and $|V_0| = 2n - 2$. By Lemma 1, there exists a monochromatic copy of K_{n-1} , say on vertex set W , such that either $W \cap V = \{y\}$, $W \cap V = \{z\}$, or $W \cap V \subseteq V \setminus (V_0 \cup \{y, z\})$. If $W \cap V = \{y\}$, then W is disjoint from $V'_R \cup V_B$ and hence forms a monochromatic copy of $K_n + K_{n-1}$ in the original colouring, a contradiction. If $W \cap V = \{z\}$, then W is disjoint from V_B , and hence we may assume that it is red. But then, W is either disjoint from V_R and forms a red copy of $K_n + K_{n-1}$, or $x \in W$, and hence the edge xz is red, a contradiction. Finally, if $W \cap V \subseteq V \setminus (V_0 \cup \{y, z\})$, then W together with $V_0 \cup \{y, z\}$ forms a monochromatic copy of $K_n + K_{n-1}$. \square

We will now conclude the proof of the main result.

Proof of Theorem 1. Let $n \geq 4$, and let G be a graph such that $G \rightarrow K_n$. Assume that there exists a colouring of the edges of G without a monochromatic copy of $K_n + K_{n-1}$. By Lemma 2, we can assume that there are two (not necessarily disjoint) sets V_R and V_B of vertices such that $G[V_R]$ and $G[V_B]$ form a red and a blue copy of K_n , respectively.

By assumption, any other red (blue) copy of K_n intersects V_R (V_B) in at least two vertices; in fact, by Lemma 4, any other red (blue) copy of K_n intersects V_R (V_B) in at least three vertices. That is, every set $W_R \subset V_R$ of size $|W_R| = n - 2$ meets every red copy of K_n in at least one vertex, and every set $W_B \subset V_B$ of size $|W_B| = n - 2$ meets every blue copy of K_n in at least one vertex.

If $V_R \cap V_B = \emptyset$, fix two arbitrary subsets $W_R \subset V_R$ and $W_B \subset V_B$, both of size $|W_R| = |W_B| = n - 2$. If $V_R \cap V_B \neq \emptyset$, let $W_B \subseteq V_B$ be a set of size $n - 2$ such that $V_R \cap V_B \subseteq W_B$, and let $W_R \subseteq V_R$ be a subset of size $n - 3$ such that $W_R \cap V_B = \emptyset$ (note that $|V_R \cap V_B| = 1$). In both cases, the sets $W_R \subset V_R$ and $W_B \subset V_B$ are disjoint and, by the above discussion, any monochromatic copy of K_n meets $W_R \cup W_B$ in at least one vertex.

We now recolour the graph and show that the resulting colouring does not contain a monochromatic copy of K_n . We may assume, without loss of generality, that all edges in $V_R \cup V_B$ are present, since losing edges will only help prevent a monochromatic K_n occurring. Let $\{x_R, y_R\} = V_R \setminus (W_R \cup W_B)$ and $\{x_B, y_B\} = V_B \setminus (W_R \cup W_B)$.

- If $n = 4$ and $V_R \cap V_B \neq \emptyset$ (i.e. $|W_R| = 1$), colour one edge between W_R and W_B red, and the other one blue. Otherwise, colour the edges between W_R and W_B so that for every $v \in W_R$ there are $w_r, w_b \in W_B$ such that vw_r is red and vw_b is blue, and for every $v \in W_B$ there are $w_r, w_b \in W_R$ such that vw_r is red and vw_b is blue.²
- For all $x \in W_R$, $y \in V_R$, and $z \notin V_R \cup W_B$, colour the edge xy blue and colour the edge xz red.
- For all $x \in W_B$, $y \in V_B$, and $z \notin W_R \cup V_B$, colour the edge xy red and colour the edge xz blue.

This recolouring is illustrated in Figure 4 (where we label as black those edges which retain their original colouring).

Note that we only recolour edges incident to $W_R \cup W_B$. Therefore, by our choice of $W_R \cup W_B$, any monochromatic copy of K_n (after recolouring the edges) must meet $W_R \cup W_B$ in at least one vertex.

Suppose now that a red K_n exists and uses vertices from W_R but not W_B . Then it must use just one vertex from W_R and $n - 1$ from $G \setminus (V_R \cup W_B)$, and hence we have a red $K_n + K_{n-1}$ in the original colouring. If a blue K_n exists and uses vertices from W_R but not W_B , then it cannot use any vertices from $\{x_B, y_B\}$ or $G \setminus (V_R \cup V_B)$, and can only use at most one vertex from $\{x_R, y_R\}$ (since the edge $x_R y_R$ remains red as in the original colouring). But this contradicts the fact that $|W_R| \leq n - 2$.

Similarly, we can rule out the case that a monochromatic copy of K_n uses vertices from W_B but not W_R . Therefore, if there is a monochromatic copy of K_n after recolouring the edges, then it must use vertices from both W_R and W_B . Assume

²This is clearly possible if $|W_R|, |W_B| \geq 2$, i.e. if $V_R \cap V_B = \emptyset$ or $n \geq 5$.

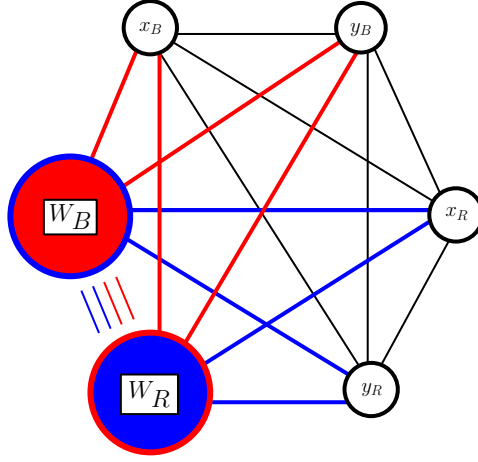


FIGURE 4. The colouring for the proof of Theorem 1.

first that this copy is red. Since all vertices in W_B are connected to $G \setminus (V_R \cup V_B)$ via blue edges, the red copy of K_n must lie entirely inside $V_R \cup V_B$. But then, it can use at most one vertex from W_R and at most one of $\{x_B, y_B\}$. The remaining $n - 2$ vertices must come from W_B , so we must use all vertices from W_B . However, in the case $V_R \cap V_B = \emptyset$ or $n \geq 5$, every vertex in W_R sees at least one vertex of W_B in blue. In the case $n = 4$, $|W_R| = 1$ and $|W_B| = 2$, the two edges between W_R and W_B are of opposite colour, and hence, at most one vertex of W_B can contribute to a red K_4 .

A similar argument shows that we do not find a blue copy of K_n using vertices from both W_R and W_B . We have therefore constructed a colouring of G which has no monochromatic K_n , contradicting the original Ramsey property of G and concluding the proof. \square

3. RAMSEY EQUIVALENCE OF K_3

In this section we give a proof of Theorem 2, a result of Szabó and Bodkin [2]. We need to show that, if $G \rightarrow K_3$ and $G \not\rightarrow K_3 + K_2$, then $K_6 \subset G$.

Proof of Theorem 2. Let G be a graph which is Ramsey for K_3 and not Ramsey for $K_3 + K_2$, and fix some colouring of G with no monochromatic $K_3 + K_2$. We first show that G must possess both a red K_3 and a blue K_3 .

Without loss of generality, there is a red K_3 , say on vertex set $V_R = \{x_R, y_R, z_R\}$. We now recolour the edges $x_R y_R$ and $x_R z_R$ blue, and colour all the edges from x_R to $G \setminus V_R$ red. It is now straightforward that a blue copy of K_3 must be a blue copy in the original colouring, and that a red copy of K_3 forces either a monochromatic copy of $K_3 + K_2$ in the original colouring, or it uses the edge $y_R z_R$ and single new vertex, say v_R . In this case, we recolour once again in the following way, as indicated in Figure 5.

We colour the three-edge path (z_R, x_R, v_R, y_R) red, and the complement in $V_r \cup \{v_R\}$ blue. Furthermore, we colour all edges between $\{z_R, y_R\}$ and $G - (V_r \cup \{v_R\})$ red. As before, if there is now a blue K_3 , then it cannot use either of the vertices

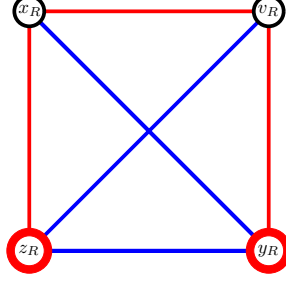


FIGURE 5

y_R or z_R , and hence it must have been already present in the original colouring of G . Otherwise, a red K_3 must use exactly two vertices from $\{x_R, v_R, y_R, z_R\}$. In particular, we have a red K_2 that is either disjoint from $\{x_R, y_R, z_R\}$ or $\{v_R, y_R, z_R\}$, and hence a red $K_3 + K_2$ in the original colouring.

We have shown that there must be, in our coloured graph G , a red K_3 , say on V_R , and a blue K_3 , say on V_B . We now show that we can assume that V_R and V_B are disjoint.

Suppose that our original choices are not, so that $|V_R \cup V_B| = 5$. Suppose $V_R \cap V_B = \{x\}$ and $V_R = \{x, y_R, z_R\}$ and $V_B = \{x, y_B, z_B\}$. Clearly, any edges between $\{y_R, z_R\}$ and $G \setminus (V_R \cup V_B)$ must be red. If their neighbourhoods intersect in $G \setminus (V_R \cup V_B)$ we have found another red K_3 , entirely disjoint from V_B , and we may proceed. Otherwise, we may assume that the neighbourhoods of y_R and z_R in $G \setminus (V_R \cup V_B)$ are disjoint. Similarly, we can assume that the neighbourhoods of y_B and z_B in $G \setminus (V_R \cup V_B)$ are disjoint. We now colour the edges incident to $V_R \cup V_B$ as indicated in Figure 6. Since $G \rightarrow K_3$, there must be a monochromatic copy of K_3 after recolouring. Furthermore, it must intersect $V_R \cup V_B$ in exactly two vertices, since the original colouring would contain a monochromatic $K_3 + K_2$ otherwise. If it is a red K_3 , say, then it must therefore use y_R, z_R , and a single vertex from $G \setminus (V_R \cup V_B)$, which contradicts the fact that their neighbourhoods are disjoint as discussed above, and we argue similarly if we have found a blue K_3 .

We may therefore assume that we have produced two disjoint sets, V_R and V_B , each of which spans a red and blue K_3 respectively.

Suppose first that there are two vertex-disjoint edges missing from $V_R \cup V_B$. We then recolour the edges incident to $V_R \cup V_B$ as in Figure 7 (where, as usual, a red (blue) vertex represents the fact that the edges between that vertex and $G \setminus (V_R \cup V_B)$ are coloured red (blue)). It is easy to check that this colouring of $V_R \cup V_B$ contains no monochromatic K_3 . Moreover, there are no blue edges between blue vertices, and, vice versa, no red edges between red vertices. It follows that a monochromatic copy of K_3 in this recoloured G must use at least two vertices from $G \setminus (V_R \cup V_B)$, which would create a monochromatic $K_3 + K_2$ in the original colouring of G , a contradiction.

We may suppose, therefore, that there is a vertex, without loss of generality say $x_R \in V_R$, such that every missing edge in $V_R \cup V_B$ is adjacent to x_R . Furthermore, we may suppose that at least one edge is missing, or else we have a K_6 in G as required. Let $x_R x_B$ be some missing edge, where $x_B \in V_B$.

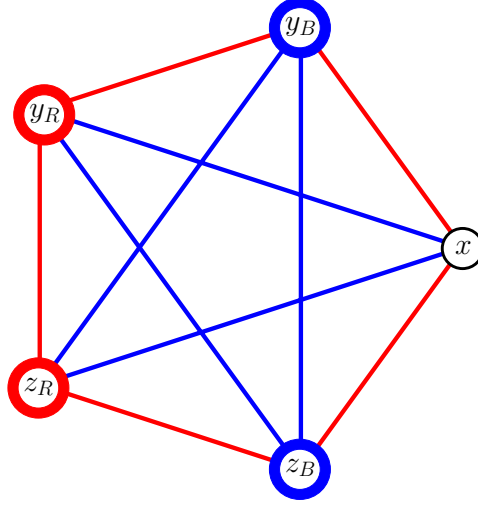


FIGURE 6. The recolouring when $V_R \cap V_B = \{x\}$. The edges between $\{y_R, z_R\}$ and $G - (V_R \cup V_B)$ are red, the edges between $\{y_B, z_B\}$ and $G - (V_R \cup V_B)$ are blue.

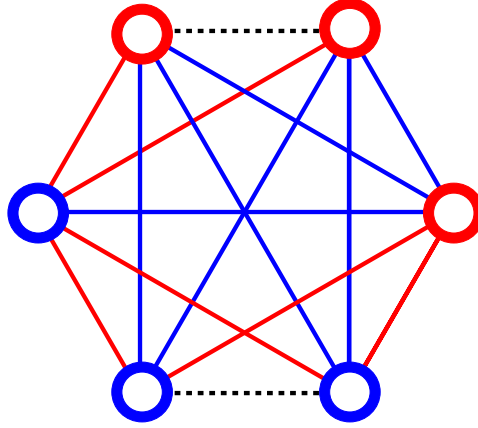


FIGURE 7

Assume first that there is a vertex, say w , in $G \setminus (V_R \cup V_B)$ that has at least five neighbours in $V_R \cup V_B$. If it is adjacent to every vertex of $(V_R \cup V_B) \setminus \{x_R\}$ then this creates a K_6 , as required. Hence, we can assume that $w x_R$ is an edge in G . Furthermore, all edges between w and V_R (if present in G) must be red, and all edges between w and V_B must be blue (as otherwise they create a monochromatic copy of $K_3 + K_2$ in the original colouring).

Suppose that w is adjacent to every vertex of V_R and to two vertices of V_B , say a and b , and that the edge $w c$ is missing. If either of the edges $x_R a$ or $x_R b$ is missing, then by considering $\{w, x_R, y_R\} \cup V_B$ we have a similar situation as above – namely, disjoint vertex sets of a red and a blue copy of K_3 with two vertex disjoint

edges missing, and we are done. Otherwise, we have a K_6 in $\{w, x_R, y_R, z_R, a, b\}$. Suppose now that w is adjacent to every vertex of V_B and x_R and some other vertex of V_R , say a , and the edge wb is missing, where $b \in V_R$. As above, we are now done by considering $V_R \cup \{w, x_B, y_B\}$, since wb and $x_B x_R$ are two independent edges missing.

For the remainder of the argument, we may therefore assume that every vertex of $G \setminus (V_R \cup V_B)$ has at most four neighbours in $V_R \cup V_B$. We now describe a recolouring of the edges incident to $V_R \cup V_B$ such that there is no monochromatic K_3 that uses at least two vertices from $V_R \cup V_B$. Recolour the interior edges of $V_R \cup V_B$ as in Figure 8. Let now $w \in G \setminus (V_R \cup V_B)$ and let $N_w \subseteq V_R \cup V_B$ be any

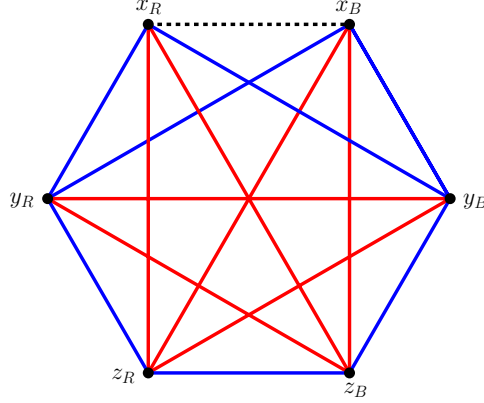


FIGURE 8

set of four vertices containing $N(w) \cap (V_R \cup V_B)$. Then, either (1), $\{x_R, x_B\} \not\subseteq N_w$ and we see a red copy of the three-edge path P_3 and a blue copy of P_3 in N_w , or (2), $\{x_R, x_B\} \subseteq N_w$, say $N_w = \{a, b, x_R, x_B\}$, for some a, b , and we see a monochromatic copy of C_4 or a monochromatic star $K_{1,3}$ with a being the centre of the star.

In case (1), say (a, b, c, d) forms the red P_3 in N_w , then we colour the edges wb and wc blue, and the edges wa and wd red (if present in G). In case (2), we colour the edge wa , wx_R and wx_B the opposite colour of ax_R and wb the same colour as ax_R .

Note first that the colouring of $V_R \cup V_B$ does not contain a monochromatic triangle. Furthermore, it is evident that we do not create a monochromatic triangle on vertices w, x, y with $x, y \in V_R \cup V_B$ and $w \notin V_R \cup V_B$, since no such w sees both vertices of a red edge in red nor both vertices of a blue edge in blue.

However, since $G \rightarrow K_3$, there must be an edge vw with $v, w \notin V_R \cup V_B$, which creates a monochromatic $K_3 + K_2$ in the original colouring, a contradiction. \square

4. FURTHER REMARKS

We have shown that K_n and $K_n + K_{n-1}$ are Ramsey equivalent for $n \geq 4$. Furthermore, we have seen that K_6 is the only obstruction to the Ramsey equivalence of K_3 and $K_3 + K_2$, i.e. any graph G that satisfies $G \rightarrow K_3$ and $G \not\rightarrow K_3 + K_2$ must contain K_6 as a subgraph.

The only pairs of graphs (H_1, H_2) known to be Ramsey equivalent are of the form $H_1 \cong K_n$ and $H_2 \cong K_n + H_3$, where H_3 is a graph of clique number less than

n . Furthermore, it is known ([6] and [8]) that the only connected graph that is Ramsey equivalent to K_n is the clique K_n itself.

It is an open question, first posed in [6], whether there are two connected non-isomorphic graphs H_1 and H_2 that are Ramsey equivalent. It follows from [8] that, if such a pair exist, they must have the same clique number. In [1] it is shown that they must also have the same chromatic number, under the assumption that one of the two graphs satisfies an additional property, called *clique-splittability*.

To tackle problems on Ramsey equivalence, a weaker concept was proposed by Szabó [9]. We will first introduce some necessary notation. We say that G is Ramsey minimal for H if G is Ramsey for H and no proper subgraph of G is Ramsey for H . Denote by $\mathcal{M}(H)$ the set of all graphs which are Ramsey minimal for H , and by $\mathcal{R}(H)$ the set all graphs which are Ramsey for H . Finally, let $\mathcal{D}(H_1, H_2) := (\mathcal{M}(H_1) \setminus \mathcal{R}(H_2)) \cup (\mathcal{M}(H_2) \setminus \mathcal{R}(H_1))$ be the class of graphs G that are Ramsey minimal for H_1 , but which are not Ramsey for H_2 , or vice versa. Equivalently, $\mathcal{D}(H_1, H_2)$ is the set of minimal obstructions to the Ramsey equivalence of H_1 and H_2 .

In particular, H_1 and H_2 are Ramsey equivalent if and only if $\mathcal{D}(H_1, H_2) = \emptyset$. We say that H_1 and H_2 are Ramsey close, denoted by $H_1 \sim_c H_2$, if $\mathcal{D}(H_1, H_2)$ is finite. We stress that this is not an equivalence relation: reflexivity and symmetry are trivial, but transitivity does not hold, since every graph containing at least one edge is close to K_2 .

Two graphs may be Ramsey close in a rather trivial sense if $\mathcal{M}(H_1)$ and $\mathcal{M}(H_2)$ are both finite, or if $H_2 \subset H_1$ and $\mathcal{M}(H_2)$ is finite. Graphs such that $\mathcal{M}(H)$ is finite are known as Ramsey-finite graphs. The class of Ramsey-finite graphs has been studied quite intensively; see, for example, [3] for some results and further references. In particular, it has been shown that the only Ramsey-finite graphs are disjoint unions of stars.

If one wishes to prove that two graphs are Ramsey equivalent, a possible first step is to show that the two graphs are Ramsey close. Szabó [9] has posed the following weaker version of the open problem mentioned earlier.

Question 1. *Is there a pair of non-isomorphic, Ramsey-infinite, connected graphs which are Ramsey close?*

We suspect that the answer to Question 1 is negative, even with this weakening of the notion of Ramsey equivalence.

Nešetřil and Rödl [7] proved that if $\omega(H) \geq 3$ then there exist infinitely many Ramsey-minimal graphs $G \in \mathcal{M}(H)$ such that $\omega(H) = \omega(G)$. In particular, it follows that if $\omega(G_1) \geq 3$ and $\omega(G_2) \geq 3$, and $G_1 \sim_c G_2$, then $\omega(G_1) = \omega(G_2)$.

Theorem 2 states that, although K_3 and $K_3 + K_2$ are not Ramsey equivalent, they are Ramsey close. Indeed, the only graph G that is Ramsey minimal for K_3 and satisfies $G \not\rightarrow K_3 + K_2$ is K_6 itself. This is the only example of a pair of Ramsey-infinite graphs which are Ramsey close but not Ramsey equivalent that we know of. In this case, $|\mathcal{D}(K_3, K_3 + K_2)| = 1$. We pose the following.

Question 2. *For any integer $k \geq 2$, is there a pair of Ramsey-infinite graphs H_1 and H_2 such that $|\mathcal{D}(H_1, H_2)| = k$?*

An affirmative answer, which we believe to exist, would in particular imply the following conjecture.

Conjecture 1. *There are infinitely many pairs of Ramsey-infinite graphs which are Ramsey close but not Ramsey equivalent.*

We close this paper with the following simpler question, which one may be able to answer negatively by adapting the methods of [6].

Question 3. *Are K_n and $K_n + K_n$ Ramsey close?*

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